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**Seminar: Medical Image Processing**

# **Visual learning and recognition of 3-D objects from appearance**

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**H. Murase, S. Nayar, International Journal of Computer Vision, 14, 5-24 (1995)**

## Overview:

- **Introduction**
- **Visual learning of objects**
- **Object recognition and pose estimation**
- **Experiment**
- **Conclusion**

**Task:**

- Image Retrieval, e.g. IRMA
- Face recognition

→

- Representation of 3-d objects
- Recognition of 3-d objects



**First approach:**

**Design geometric (shape) models**

**Problems:**

- **Objects of interest, e.g. skull, cannot be modeled**
- **Cumbersome and impractical in case of large sets of objects**
- **Cannot acquire object models without human assistance**

**Consider how a human visually memorizes a 3-d object:**

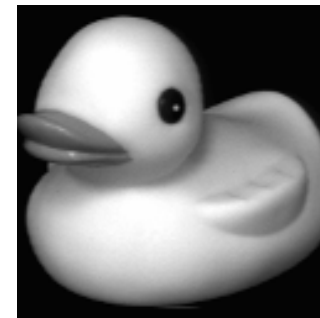
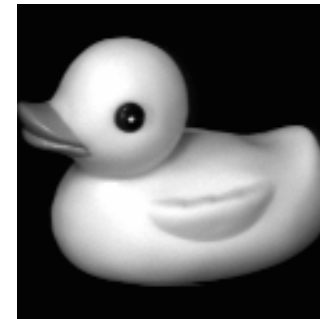
- **Rotate the object**
- **Study appearance from different directions**

**Findings indicate that a human represent a 3-d object as a set of 2-d images**

Appearance is combination of:

- Shape
- Reflectance properties
- Pose in the scene
- Illumination condition

Pose and illumination vary from scene to scene

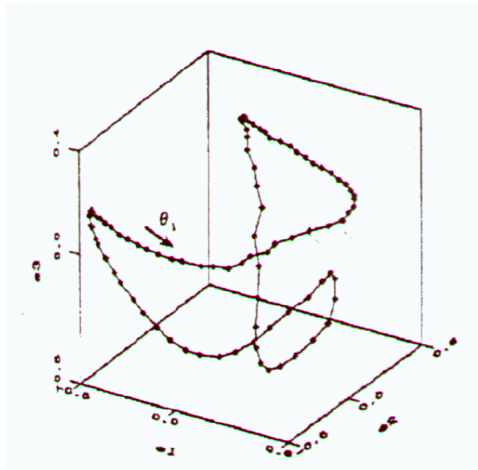
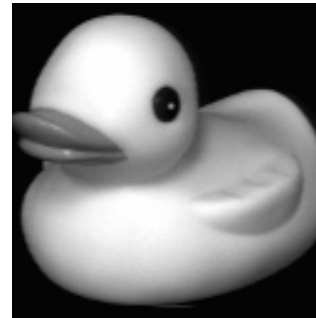


→ Approach:

Representation of an object as a set of 2-d images,  
where pose and illumination differ for each image

## Representation of a digital image:

- Normalize the image set
- Compute eigenspaces
- Appearance representation



### Scale normalization:

Image is segmented into:

- Background region
- Object region
- Possible because of black background

### Brightness normalization:

Total energy contained in the image is set to unity

$$\|x\| = 1$$

## Computing eigenspaces

### Two types of eigenspaces:

- **Universal eigenspace:** describes the gross appearance characteristic of objects, computed from all images
- **Objects eigenspace:** describes the pose of one object, computed from images of one object



## Principal Component Analysis (PCA):

Idea: projection of the features into a lower dimensional space while getting the best representation of the class

Scatter matrix:

$$S = \sum_{k=1}^n (x_k - m)(x_k - m)^T$$

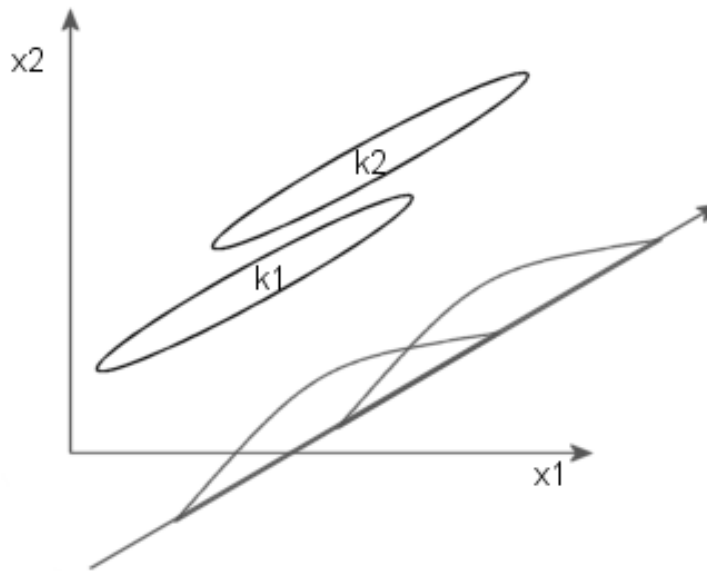
$m$ : mean Determine the projection matrix  $V$  that maximizes:

$$|V^T S V|$$

Under  $V^T V = I$

Solving the eigenvalue problem of  $S$ :

$\lambda_i e_i = S e_i$  eigenvectors  $e_i$  are the columns of  $V$



Universal eigenspace:

Scatter matrix of all images:

$$S_u = \sum_{k=1}^K \sum_{r=1}^R \sum_{l=1}^L (x_{r,l}^{(k)} - m)(x_{r,l}^{(k)} - m)^T$$

( $r$  : rotation angle  $1 \dots R$ ,  $l$  : illumination  $1 \dots L$ ,  $k$  : object number,  $m$  : mean)

Universal eigenspace is represented by the eigenvectors  $e_i$  of  $S_u$ , determined by PCA

Objects eigenspace:

Scatter matrix of all images of an object:

$$S_o^{(k)} = \sum_{r=1}^R \sum_{l=1}^L (x_{r,l}^{(k)} - m^{(k)})(x_{r,l}^{(k)} - m^{(k)})^T$$

Object eigenspace is represented by the eigenvectors  $e_i^{(k)}$  of  $S_o^{(k)}$ , determined by PCA

**Appearance representation in the universal eigenspace:**

Each learning sample  $x_{r,l}^{(k)}$  in the image set is projected to eigenspace

$$g_{r,l}^{(k)} = [e_1, \dots, e_h]^T (x_{r,l}^{(k)} - m)$$

Using cubic spline interpolation to interpolate the points  $g_{r,l}^{(k)}$  to obtain the manifold

$$g^{(k)}(\Theta_1, \Theta_2)$$

( $\Theta_1$ : rotation,  $\Theta_2$ : illumination,  $h$ : dimension of the eigenspace)

Appearance representation in the object eigenspace:

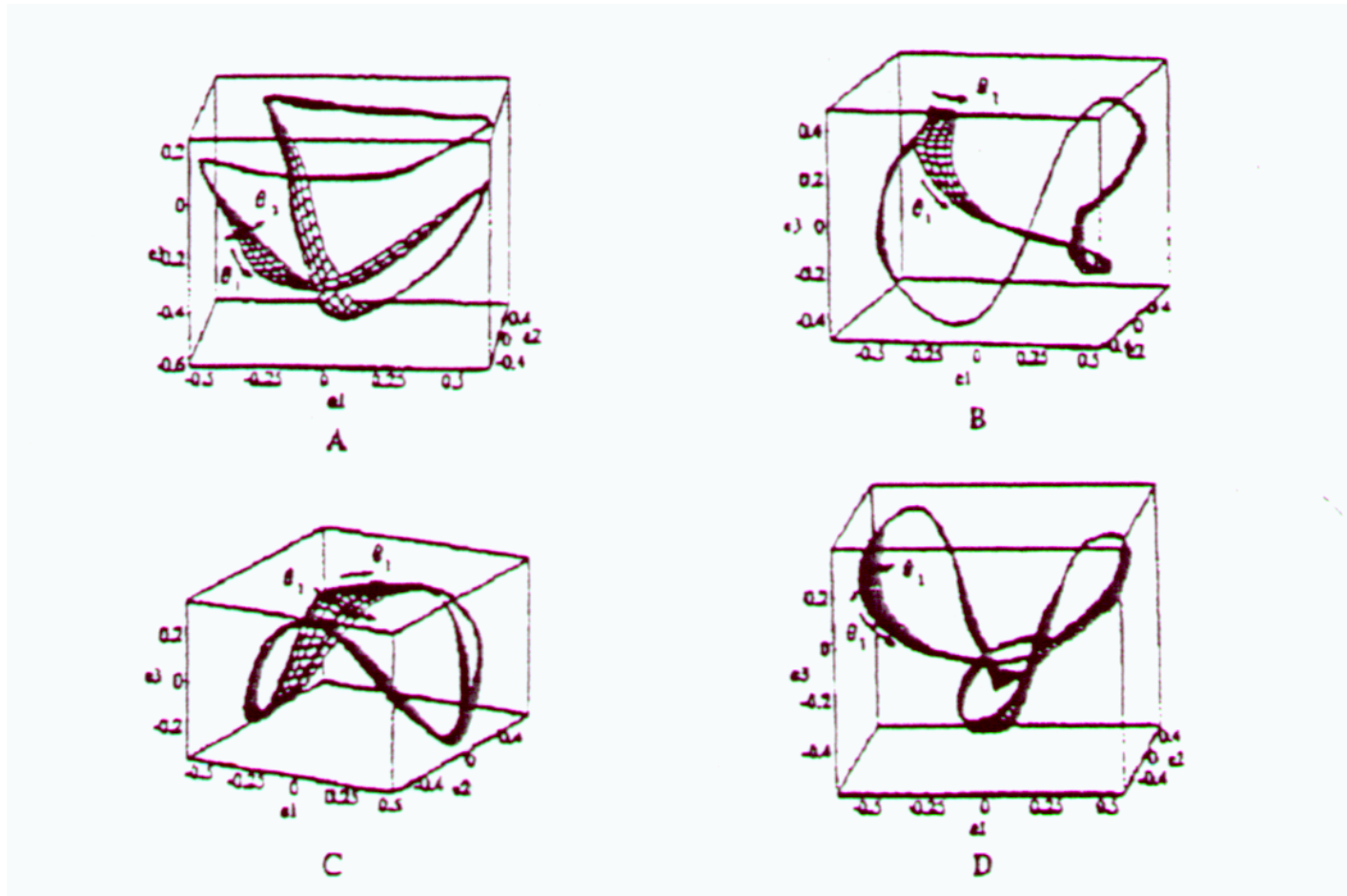
Each learning sample  $x_{r,l}^{(k)}$  in the object image set is projected to eigenspace

$$f_{r,l}^{(k)} = [e_1^{(k)}, \dots, e_h^{(k)}]^T (x_{r,l}^{(k)} - m^{(k)})$$

Using cubic spline interpolation to interpolate the points  $f_{r,l}^{(k)}$  to obtain the manifold

$$f^{(k)}(\Theta_1, \Theta_2)$$

( $\Theta_1$ : rotation,  $\Theta_2$ : illumination,  $h$ : dimension of the eigenspace)



Given an image set with  $K$  objects and unknown image  $x$

→ Find the class  $k$  which is most probable when given  $x$  and distribution  $p(k|x)$

For recognition search the  $k^*$  which maximizes  $p(k|x)$ :

$$k^* = \underset{k}{\operatorname{argmax}} \{p(k|x)\}$$

Bayes decision rule, optimal with respect to number of decision errors, if distribution known

Bayes decision rule:

$$\begin{aligned}\operatorname{argmax}_k p(k|x) &= \operatorname{argmax}_k \left\{ \frac{p(k) \cdot p(x|k)}{p(x)} \right\} \\ &= \operatorname{argmax}_k \{p(k) \cdot p(x|k)\} \\ &= \operatorname{argmax}_k \{p(x|k)\} \\ &= \operatorname{argmax}_k \left\{ \exp\left[-\frac{1}{2}(x - \mu_k)^t \Sigma^{-1}(x - \mu_k)\right] \right\} \\ &= \operatorname{argmax}_k \left\{ -\frac{1}{2}(x - \mu_k)^t \Sigma^{-1}(x - \mu_k) \right\} \\ &= \operatorname{argmin}_k \left\{ (x - \mu_k)^t \Sigma^{-1}(x - \mu_k) \right\} \\ &= \operatorname{argmin}_k \left\{ \|x - \mu_k\|^2 \right\}\end{aligned}$$

→ Use distance calculation for recognition

Distance between two images  $x_m$  and  $x_n$

$$\begin{aligned}\|x_m - x_n\|^2 &\approx \left\| \sum_{i=1}^h g_{m_i} e_i - \sum_{i=1}^h g_{n_i} e_i \right\|^2 \\ &= \left\| \sum_{i=1}^h (g_{m_i} - g_{n_i}) e_i \right\|^2 \\ &= \sum_{i=1}^h \sum_{j=1}^h e_i^T e_j \cdot (g_{m_i} - g_{n_i})^2 \\ &= \|g_m - g_n\|^2\end{aligned}$$

→ Use the projections for recognition



Recognize an image  $x$  and determine its pose and illumination :

$x_u$ : projection of  $x$  to universal eigenspace

Find that object  $k$  whose manifold is closest to  $x_u$

$$k^* = \operatorname{argmin}_k \left\{ \min_{\Theta_1, \Theta_2} \|x_u - g^{(k)}(\Theta_1, \Theta_2)\| \right\}$$

Threshold used

Two search algorithms:

- binary search in multiple dimensions
- three layered radial basis function network, which learns mapping input points and manifold parameters

$x_o$ : projection of image  $x$  to objects eigenspace

Find the parameters  $\Theta_1, \Theta_2$  that are closest to  $x_o$ :

$$i = \operatorname{argmin}_{\Theta_1, \Theta_2} \{ \|x_o - f(\Theta_1, \Theta_2)\| \}$$

**Approach:**

**Acquiring a compact model of the appearance of the object under different pose and illumination directions**

**Experiment:**

- **Object is placed on a motorized turntable**
- **Illuminated from different directions**
- **Is shown to an image sensor**

Accuracy of pose estimation:

Object set:

- 4 objects: uniform reflectance but shapes that appear very similar for certain poses
- 4 objects: complex appearance characteristic

Training set:

- I: 450 learning samples (5 illumination directions, 90 poses) for each object
- II: 90 learning samples (5 illumination directions, 18 poses) for each object

Test set: 1080 test images (3 illumination directions, 90 poses)

average absolute pose error in degrees		
	I	II
A	0.5	1.0
B	0.5	1.2



### Conclusion:

- **Approach for 3-d object recognition from 2-d images using PCA and splines**
- **Good results on the used images**
- **Segmentation necessary**
- **Best size of eigenspace unknown**
- **3 parameters for describing the pose of an object in 3 dimensions (2 rotation, 1 illumination)**
- **Approach difficult in real-world applications**
- **Possible use for CT images**